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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.		9D-HR-19761
First Inventor		Carl Tobert Whitaker, et al.
Title	SPILL PROOF SHELF ASSEMBLY METHOD AND STRUCTURE	
Express Mail Label No.	EL752242029US	

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original, and a duplicate for fee processing)*
- Application claims small entity status.  
See 37 CFR 1.27
- Specification [ Total Pages 12 ]  
*(Preferred arrangement set forth below)*
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (*if filed*)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) (35 USC 113) [ Total Sheets 5 ]
- Oath or Declaration [ Total Pages 4 ]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 18 completed)*
    - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

Commissioner for Patents  
ADDRESS TO: Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
*(If applicable, all necessary)*
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))  
Recordation (1 pg. in duplicate; Assignment C. Whitaker 2 pgs.; Assignment R. Mathur 2 pgs.)
10.  37 CFR 3.73(b) Statement  
*(when there is an assignee)*  Power of Attorney
11.  English Translation Document (*if applicable*)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
15.  Certified Copy of Priority Document(s)  
*(If foreign priority is claimed)*
16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: \_\_\_\_\_ Certificate of express mail \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation       Divisional       Continuation-in-part (CIP)      of prior application No.: \_\_\_\_\_ /

Prior application information:

Examiner:

Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Custom No. or Attach bar code label here)			<input type="checkbox"/> Correspondence address below
Name	John S. Beulick			
Address	Armstrong Teasdale LLP			
City	St. Louis	State	Missouri	Zip Code
Country	US	Telephone	(314) 621-5070	Fax

Name (Print/type)	Thomas M. Fisher	Registration No. (Attorney/Agent)	47,564
Signature		Date	February 12, 2002

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

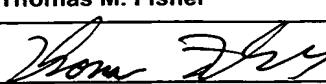
TOTAL AMOUNT OF PAYMENT (\$ 824.00)

Complete If Known	
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02/12/02

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																											
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\*\*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY				Complete (if applicable)	
Name (Print/Type)	Thomas M. Fisher	Registration No. (Attorney/Agent)	47,564	Telephone	(314) 621-5070
Signature				Date	February 12, 2002

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(13307/139)

PTO/SB/17 (10/01)

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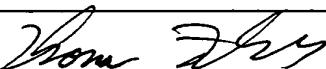
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<b>TOTAL AMOUNT OF PAYMENT</b>	(\$ 824.00)	<b>Attorney Docket Number</b>	9D-HR-19761
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**Complete If Known**

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<b>METHOD OF PAYMENT (check one)</b>				<b>FEES CALCULATION (continued)</b>																																																																																																																											
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116	400	216	200 Extension for reply within second Month																																																																																																																												
117	920	217	460 Extension for reply within third month																																																																																																																												
118	1440	218	720 Extension for reply within fourth month																																																																																																																												
128	1960	228	980 Extension for reply within fifth month																																																																																																																												
119	320	219	160 Notice of Appeal																																																																																																																												
120	320	220	160 Filing a brief in support of an appeal																																																																																																																												
121	280	221	140 Request for oral hearing																																																																																																																												
138	1510	138	1510 Petition to institute a public use proceeding																																																																																																																												
140	110	240	55 Petition to revive – unavoidable																																																																																																																												
141	1280	241	640 Petition to revive – unintentional																																																																																																																												
142	1280	242	640 Utility issue fee (or reissue)																																																																																																																												
143	460	243	230 Design issue fee																																																																																																																												
144	620	244	310 Plant issue fee																																																																																																																												
122	130	122	130 Petitions to the Commissioner																																																																																																																												
123	50	123	50 Petitions related to provisional applications																																																																																																																												
126	180	126	180 Submission of Information Disclosure Stmt																																																																																																																												
581	40	581	40 Recording each patent assignment per property (times number of properties)																																																																																																																												
146	740	246	370 Filing a submission after a final rejection (37-CFR 1.129(a))																																																																																																																												
149	740	249	370 For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																												
179	740	279	370 Request for Continued Examination (RCE)																																																																																																																												
169	900	169	900 Request for expedited examination of a design application																																																																																																																												
Other fee (specify) _____																																																																																																																															
<p>2. <input type="checkbox"/> Payment Enclosed:</p> <p><input type="checkbox"/> Check    <input type="checkbox"/> Credit Card    <input type="checkbox"/> Money Order    <input type="checkbox"/> Other</p>				<p><b>FEES CALCULATION</b></p> <p>1. <b>BASIC FILING FEE</b></p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101</td><td>740</td><td>201</td><td>370 Utility Filing Fee \$740.00</td></tr> <tr><td>106</td><td>330</td><td>206</td><td>165 Design Filing Fee</td></tr> <tr><td>107</td><td>510</td><td>207</td><td>255 Plant Filing Fee</td></tr> <tr><td>108</td><td>740</td><td>208</td><td>370 Reissue filing Fee</td></tr> <tr><td>114</td><td>160</td><td>214</td><td>80 Provisional Filing Fee</td></tr> <tr><td colspan="4">SUBTOTAL (1) \$740.00</td></tr> </tbody> </table> <p>2. <b>EXTRA CLAIM FEES</b></p> <table border="1"> <thead> <tr> <th>Total Claims</th> <th>Extra Claims</th> <th>Fee From Below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>21</td><td>-20**</td><td>1</td><td>x 84.00 = \$84.00</td></tr> <tr><td>3</td><td>-3*</td><td>0</td><td>x 0 = -0-</td></tr> <tr><td colspan="4">Multiple Dependant x \$84.00 = \$84.00</td></tr> <tr><td colspan="4">SUBTOTAL (2) \$84.00</td></tr> </tbody> </table>				Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	101	740	201	370 Utility Filing Fee \$740.00	106	330	206	165 Design Filing Fee	107	510	207	255 Plant Filing Fee	108	740	208	370 Reissue filing Fee	114	160	214	80 Provisional Filing Fee	SUBTOTAL (1) \$740.00				Total Claims	Extra Claims	Fee From Below	Fee Paid	21	-20**	1	x 84.00 = \$84.00	3	-3*	0	x 0 = -0-	Multiple Dependant x \$84.00 = \$84.00				SUBTOTAL (2) \$84.00																																																																											
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**or number previously paid, if greater; For Reissues, see above				<p>*Reduced by Basic Filing Fee Paid SUBTOTAL (3)</p>																																																																																																																											

<b>SUBMITTED BY</b>				<b>Complete (if applicable)</b>	
Name (Print/Type)	Thomas M. Fisher	Registration No. (Attorney/Agent)	47,564	Telephone	(314) 621-5070
Signature				Date	February 12, 2002

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Applicant: Carl Tobert Whitaker, et al. :  
Serial No.: : Art Unit:  
Filed: February 12, 2002 : Examiner:  
For: SPILL PROOF SHELF ASSEMBLY :  
METHOD AND STRUCTURE :  
:

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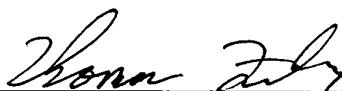
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  - Abstract (1pg.)
- Utility Patent Application Fee Transmittal (1 pg. in duplicate)
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- Assignment (C. Whitaker 2 pgs., R. Mathur 2 pgs.)
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